



**ASSOCIATION OF INSPECTORS GENERAL**  
445 West 59<sup>th</sup> Street, Room 3533 N.  
New York, NY 10019

Inspector General Ed Quatrevaux  
City of New Orleans  
525 St. Charles Avenue  
New Orleans, LA 70130

January 20, 2012

Dear Mr. Quatrevaux:

Contained within this correspondence are the results of our Peer Review of the Audit, Investigations (IU), and Inspections and Evaluations (I & E) divisions of the City of New Orleans Office of the Inspector General (NOLA-OIG). We conducted our review at your offices located at 525 St. Charles Avenue, New Orleans, LA 70130, from January 9-13, 2012. The Peer Review Team (Team) evaluated the work of these three divisions during the period of October 2009 through January 2012 against the Principles and Standards for Offices of Inspector General (Green Book) issued by the Association of Inspectors General and the Government Auditing Standards (Yellow Book) issued by the U. S. Government Accountability Office (GAO).

Our review uncovered no reportable instances of failure to meet these standards with one qualifying opinion:

- o It was not until November 2011, that the Inspections and Evaluations (I & E) division issued a separate manual specifically outlining staff's requirements, incorporating Green Book standards; thereby limiting the testing that was able to be performed by our team. However, it appears the work during the review period was performed within the spirit of the Green Book.

Thus, it is the unanimous conclusion of the Team that the Audit, IU and I & E divisions of the NOLA-OIG met all relevant Green Book standards for the period of review.

**Purpose**

The team conducted an independent, qualitative assessment review of the operations of the Audit, IU and I & E Divisions of the NOLA-OIG focusing on compliance with agreed upon standards.

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## **Scope**

The Review assessed randomly selected work products, and all related file materials, chosen from closed complaints and investigations, completed audits and open and completed evaluations & inspections during the period October 2009 to January 13, 2012 for all divisions. The Review Team also conducted a review of the all relevant policies, process manuals, and procedural guides.

The Team interviewed all managers, and selected employees in Audit, IU and I & E. The Team also interviewed the General Counsel, the Inspector General, and the Information Technology employee. We also conducted interviews of the U. S. Attorney, Department of Justice, Eastern District of Louisiana; the President of the Metropolitan Crime Commission, Inc.; and the Chair of the Ethics Review Board.

## **Method**

The Review followed the Association of Inspectors General (AIG) established qualitative assessment review methodology. The team also called upon their own professional experience as both employees of various local, state, and federal Offices of Inspectors General and as senior government executives.

The Team held an entrance conference with you and your NOLA-OIG senior staff on January 9, 2012, during which we explained the scope, methodology, limitations, and proposed schedule. Requests for interviews by the peer review team for internal staff and external entities were made ahead of time and schedules were finalized on the first day of on-site. We also made our sample requests during the first day on-site. All review related interviews were conducted in confidence and without any limitation on scope or time. All file requests were met fully and timely. Where necessary, follow up interviews and explanations, as well as any supplemental documentation, were requested of NOLA-OIG staff and provided to the full and complete satisfaction of the Team.

On January 13, 2012, the Team held an exit conference with you and your senior staff at which time we notified you of our overall opinion, along with briefing you on several matters that did not limit or qualify that opinion, but which we nevertheless believed we should share with you as possible areas of considerations going forward. These areas for considerations will be detailed with you under separate correspondence. We had very productive discussions with the Audit, IU and I & E, and General Counsel during the Peer Review.

As noted above, it is the unanimous conclusion of the Peer Review Team that the Audit, IU and I & E divisions met all current, relevant AIG standards for the period of review with one qualifying opinion for I & E.

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On behalf of the Review Team (listed below) and the Association of Inspectors General, I want to personally thank you for the trust that you and your staff demonstrated at all times leading up to and during our review. All of our interactions with your staff were marked by the respect and cooperation that is the hallmark of a professional staff truly interested in a full and open review of their work. At the same time, this has been an unparalleled learning experience for each member of the Peer Review Team, for which we wish to convey our sincerest thanks.

### **Peer Review Team**

The Team consisted of the following individuals:

1. Thomas Caulfield, Executive Director, Training Institute, Council of the Inspectors General for Integrity and Efficiency (CIGIE)
2. Sheryl Steckler, Inspector General, Office of Inspector General, Palm Beach County, Florida
3. Wayne Good, Senior Auditor, D.C. Office of Inspector General
4. Robert Joyce, Supervising Police Investigator, Office of Inspector General, Port Authority of New York & New Jersey

Once again, on behalf of the Team that I was privileged to represent, and upon individual due reflection and consideration, I want to personally thank you for the confidence you placed in asking the Association for this review.

Please feel free to contact me or any member of the Peer Review Team if you have any questions.

Sincerely,



Thomas Caulfield  
Executive Director, Training Institute,  
CIGIE

cc: Thomas Caulfield  
Sheryl Steckler  
Wayne Good  
Robert Joyce